

DOT

FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	NM-2016-019-01
Temporary Application Number	1564-2016-4
Application Name	NMDOT FY16 5311 AWARD
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Part 1: Recipient Information

Name: Transportation, New Mexico Department Of

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1564	State Agency	NEW MEXICO DEPARTMENT OF TRANSPORTATION	117883178

Location Type	Address	City	State	Zip
Headquarters	1120 CERRILLOS RD	SANTA FE	NM	875011149
Physical Address	1350 ALTA VISTA ST	SANTA FE	NM	87505
Mailing Address	1120 CERRILLOS ROAD	SANTA FE	NM	87504

Union Information

Union Name	NONE
Address 1	
Address 2	
City	
State	
Zipcode	
Contact Name	NONE NONE
Telephone	

Fax	
E-mail	
Website	

Part 2: Application Information

Title: NMDOT FY16 5311 AWARD

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
NM-2016-019-01	Final Concurrence / Reservation	Grant	6/8/2018	6/8/2018	No

Application Start Date

9/19/2016

Application End Date

9/29/2021

Application Executive Summary

AMENDMENT 1: NMDOT is applying for the remaining balance in the amount of \$695,619 of our FY 2016 5311 apportionment (\$10,329,395) to the State of New Mexico posted in the Federal Register / Vol. 81, No. 30 / Tuesday, February 16, 2016. The remaining balance will be added to Operating Assistance Rural for a new total as follows: \$5,489,442 (Scope 600)

UZA -350000 (Los Alamos)

P3 2016.25.18.81 FPC 09 Scope 600 - \$695,619

Total Operating Assistance Rural: \$5,489,442 (Scope 600)

6/7/18 - Budget revision: to reconcile cost savings from POP FFY 17.

8/12/2016 NMDOT is applying for 9,663,775 of our FY 2016 5311 apportionment (\$10,329,395) to the State of New Mexico posted in the Federal Register / Vol. 81, No. 30 / Tuesday, February 16, 2016 for our 5311 Public Rural Transportation program for FY17 Operating, Program Administration, Capital Cost of Contract, State Administration, and RTAP (\$141,795 full allocation). The total application request is for \$9,775,570.

There are 20 projects are in Category A, for 20 sub-grantees in 22 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Bernalillo & Santa Fe) including 2 tribal governments; Navajo Nation and Pueblo of Laguna.

Rural Category A: \$8,590,289 - NMDOT has awarded \$8,590,289 of FY 2016 5311 apportionment to 20 sub-grantees for Rural projects in the upcoming FY 2017 program year for Operating, Program Administration, Capital Cost of Contract, and including Intercity Bus service 5311(f). 4 intercity bus projects are all programmed in Category A with the required 15 percent. Included in the intercity, we have programed Capital Cost of Contracting for our Park & Ride Program.

State Administration: \$1,043,486 - provide project administration, oversight and technical assistance to

sub-grantees included in Program of Projects. State Administration is programmed within the 10% allowed.

Rural Transit Assistance Program (RTAP): \$141,795 - NMDOT has awarded RTAP \$141,795 for FY17. RTAP will promote the safe and effective delivery of public transportation services in rural areas and facilitate more efficient use of public transportation resources by providing training and technical assistance to rural transit agencies.

The funding request for the grant projects are as follows:

P1 RTAP Section 5311(b): (435-00) \$141,795 - UZA35000

P2 State Administration: (610-00) \$1,043,486 - UZA 35000

P3 Sub-grantees: (600-00) \$7,013,803 - UZA 35000

P3 Sub-grantees: (634-00) \$1,576,486 - UZA 35000

See attached Program of Projects and Budget workbook.

The 5311 sub-grantees use the following allowed local match for their 20% match: Federal & State funds, Tribal Supplemental-funds, State Budget-Aging & long term Services. Medicaid waiver, program service fees, enterprise sales revenue, United Way, leases, Rent/Fee's, Private pay, Grants and contributions, interest income and State General Funds.

NMDOT does follow the SMP requirements for 5310/5311 outlined in Circular 9070.1G page VII-1-4 dated 7/7/14.

As the designated recipient of the Section 5311 funds, the New Mexico Department of Transportation (NMDOT) Transit and Rail Division certifies that the selected projects in our POP and Grant Budget are derived from a locally-developed, Coordinated Public Transit - Human Services Transportation Plans by region. The allocation of these funds to sub recipients were awarded on a fair and equitable basis; the projects have been derived from a regionally coordinated public transit- human services transportation plan; to the extent feasible services are coordinated with transportation services provided by other federal agencies; and the planning process included representatives of public, private and non-profit transportation and human service providers, participation by the public and representatives addressing the needs of seniors and individuals with disabilities. Additionally, during the annual application process, which includes public meetings, potential sub grantees provide information as to how their project is derived from the Plans. This is confirmed by Transit and Rail Division Staff.

The Plans can be found on our web-site at http://dot.state.nm.us/content/nmdot/en/Transit_Rail.html

Period of Performance: Award Start Date: 10-01-16 Award End Date: 09-30-2019

Research and Development: This Application does NOT include funds for Research and/or Development Activities.

Indirect Cost Rate: Indirect Costs Will NOT be applied to this application and its scope of work.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

Yes, this application requires E.O. 12372 Review

State Application ID:

Date Received by State:

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Deborah	Bach	Rural Transit Programs Supervisor	deborah.bach@state.nm.us	(505) 819-9112
Heriberto	Chavarria	Program Management Specialist	Heriberto.Chavarria@dot.gov	(817) 978-0548

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$10,471,190
Local			\$7,156,695
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$17,627,885

Application Budget

Project Number		Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2016-019-01-01	435-00 (435-A2)	RURAL TRANSIT ASST PROGRAM	\$141,795.00	\$0.00	\$141,795.00	0
NM-2016-019-01-01		43.50.01 TRAINING	\$50,000.00	\$0.00	\$50,000.00	0
NM-2016-019-01-01		43.50.04 RELATED SUPPORT SERVICES	\$91,795.00	\$0.00	\$91,795.00	0
NM-2016-019-02-01	610-00 (610-A1)	STATE / PROGRAMS ADMINISTRATION	\$1,043,486.00	\$0.00	\$1,043,486.00	0
NM-2016-019-02-01		11.80.00 STATE OR PROGRAM ADMINISTRATION	\$1,043,486.00	\$0.00	\$1,043,486.00	0
NM-2016-019-03-01	600-00 (600-A3)	OTHER PROGRAM COSTS	\$7,709,422.00	\$6,044,438.00	\$13,753,860.00	0
NM-2016-019-03-01		11.79.00 PROJECT ADMINISTRATION	\$2,219,980.00	\$554,995.00	\$2,774,975.00	0
NM-2016-019-03-01		30.09.01 UP TO 50% FEDERAL SHARE	\$5,489,442.00	\$5,489,443.00	\$10,978,885.00	0
NM-2016-019-03-01	634-00 (634-A4)	INTERCITY BUS TRANSPORTATION	\$1,576,487.00	\$1,112,257.00	\$2,688,744.00	0
NM-2016-019-03-01		11.71.12 CAPITAL COST OF 3RD PARTY CONTRACTING	\$300,000.00	\$75,000.00	\$375,000.00	0
NM-2016-019-03-01		11.79.00 PROJECT ADMINISTRATION	\$318,974.00	\$79,744.00	\$398,718.00	0
NM-2016-019-03-01		30.09.01 UP TO 50% FEDERAL SHARE	\$957,513.00	\$957,513.00	\$1,915,026.00	0

Earmark and Discretionary Allocations

This application does not contain earmarks or discretionary allocations.

Part 3: Project Information

Project Title: NMDOT FY16 5311 Rural Transit Assistance Program (RTAP)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2016-019-01-01	1564-2016-4-P1	6/8/2018	9/30/2016	6/29/2018

Project Description

Rural Transit Assistance Program (RTAP) will promote the safe and effective delivery of public transportation services in rural areas and to facilitate more efficient use of public transportation resources by providing training and technical assistance to rural transit agencies.

RTAP Section 5311(b): (Scope 435-00): \$141,795

\$50,000 for training related activities

\$91,795 for related support services

\$141,795

See attached Program of Projects and Budget workbook.

Project Benefits

Project benefits include:

- (1) improve the quality and availability of training and technical assistance resources available to the rural transit industry;
- (2) promote the coordination of public and private transportation services;
- (3) build a national database of information about the rural transit industry.

Additional Information

None provided.

Location Description

In New Mexico 22 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Bernalillo & Santa Fe) including 2 tribal governments; Navajo Nation and Pueblo of Laguna.

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

Congressional District Information

State	District	Representative
New Mexico	1	Michelle Lujan Grisham
New Mexico	2	Stevan Pearce
New Mexico	3	Ben R Lujan

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$141,795
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$141,795

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2016-019-01-01	435-00 (435-A2) RURAL TRANSIT ASST PROGRAM	\$141,795.00	\$0.00	\$141,795.00	0
NM-2016-019-01-01	43.50.01 TRAINING	\$50,000.00	\$0.00	\$50,000.00	0
NM-2016-019-01-01	43.50.04 RELATED SUPPORT SERVICES	\$91,795.00	\$0.00	\$91,795.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.01 - TRAINING				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

RTAP Section 5311(b): \$50,000 - to provide training and training assistance to rural sub-grantees to achieve the following:

- Improve the quality of training and technical assistance resources available to the rural transit industry
- Encourage and assist state, local, and peer networks to address training and technical assistance needs

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$50,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$50,000

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2016	
End Date	6/30/2018	

Budget Activity Line Item: 43.50.04 - RELATED SUPPORT SERVICES

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.04	RELATED SUPPORT SERVICES	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

RTAP Section 5311(b): \$91,795 - to provide support related services and assistance to rural sub-grantees to achieve the following:

- Improve the quality of training and technical assistance resources available to the rural transit industry
- Encourage and assist state, local, and peer networks to address training and technical assistance needs
- Promote the coordination of transportation services
- Build a national database of information about the rural transit industry to share with the public

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$91,795
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$91,795

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2016	
End Date	6/30/2018	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.01	TRAINING	0	\$50,000.00	\$50,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.04	RELATED SUPPORT SERVICES	0	\$91,795.00	\$91,795.00

Project Title: NMDOT FY16 5311 Rural Transit State Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2016-019-02-01	1564-2016-4-P2	6/8/2018	9/30/2016	9/29/2019

Project Description

Provide comprehensive program administration to 5311 sub-grantees for Program of Projects in rural areas across the State of New Mexico.

State Administration: \$1,043,486 (Scope 610-00)

See attached Program of Projects and Budget workbook.

Project Benefits

State provided program administration to sub grantees is designed to (1) help meet the transportation needs of transit-dependent people and to enhance access to health care, shopping, education, employment, public services, and recreation; (2) assist in the maintenance, development, improvement and use of public transportation systems; (3) encourage and facilitate the most efficient use of all federal funds used to provide passenger transportation in non-urbanized areas through the coordination of programs and services and the provision of technical and fiscal oversight; (4) assist in the development and support of intercity bus transportation; and (5) provide for the participation of private transportation providers in non-urbanized transportation to the maximum extent feasible.

Additional Information

None provided.

Location Description

The service area covers 22 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero,

Bernalillo & Santa Fe) including 2 tribal governments; Navajo Nation and Pueblo of Laguna.

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

Congressional District Information

State	District	Representative
New Mexico	1	Michelle Lujan Grisham
New Mexico	2	Stevan Pearce
New Mexico	3	Ben R Lujan

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$1,043,486
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$1,043,486

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2016-019-02-01	610-00 STATE / PROGRAMS ADMINISTRATION (610-A1)	\$1,043,486.00	\$0.00	\$1,043,486.00	0
NM-2016-019-02-01	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$1,043,486.00	\$0.00	\$1,043,486.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

State provided program administration to sub grantees is designed to (1) help meet the transportation needs of transit-dependent people and to enhance access to health care, shopping, education, employment, public services, and recreation; (2) assist in the maintenance, development, improvement and use of public transportation systems; (3) encourage and facilitate the most efficient use of all federal funds used to provide passenger transportation in non-urbanized areas through the coordination of programs and services and the provision of technical and fiscal oversight; (4) assist in the development and support of intercity bus transportation; and (5) provide for the participation of private transportation providers in non-urbanized transportation to the maximum extent feasible.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$1,043,486
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$1,043,486

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2016	
End Date	9/30/2019	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$1,043,486.00	\$1,043,486.00

Project Title: NMDOT FY16 5311 Rural Transit Programs Sub-grantee

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2016-019-03-01	1564-2016-4-P3	6/8/2018	9/30/2016	9/29/2018

Project Description

Amendment 1: NMDOT is applying for the remaining balance in the amount of \$695,619 of our FY 2016 5311 apportionment (\$10,329,395) to the State of New Mexico posted in the Federal Register / Vol. 81, No. 30 / Tuesday, February 16, 2016. Los Alamos County awarded \$695,619 in Operating funds.

Operating Assistance Rural: \$5,489,442 (Scope 600)

Rural Category A: \$8,590,289 - NMDOT has awarded \$8,590,289 of FY 2016 5311 apportionment to 20 sub-grantees for 25 projects in the upcoming FY 2017 program year for Project Administration, Operating Assistance, Capital Cost of Contract, and including Intercity Bus service 5311(f). 4 intercity bus projects are all programmed in Category A with the required 15 percent. Included in the intercity, we have programmed Capital Cost of Contracting for our Park & Ride Program.

Project Administration Rural: \$4,788,606 (Scope 600-00)

Operating Assistance Rural: \$2,225,197 (Scope 600-00)

Project Administration Intercity: \$318,973 (Scope 634-00)

Operating Assistance Intercity: \$957,513 (Scope 634-00)

Capital Cost of Contract Intercity: \$300,000 (Scope 634-00)

See attached Program of Projects and Budget workbook.

Project Benefits

Project benefits include:

- (1) Help meet the transportation needs of transit-dependent people and to enhance access to health care, shopping, education, employment, public services, and recreation;
- (2) assist in the maintenance, development, improvement and use of public transportation systems;
- (3) encourage and facilitate the most efficient use of all federal funds used to provide passenger transportation in non-urbanized areas through the coordination of programs and services and the provision of technical and fiscal oversight;
- (4) assist in the development and support of intercity bus transportation;
- (5) improve the quality and availability of training and technical assistance resources available to the rural transit industry;
- (6) promote the coordination of public and private transportation services;
- (7) build a national database of information about the rural transit industry.

Additional Information

None provided.

Location Description

There are 25 projects are in Category A, for 20 sub-grantees in 22 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Bernalillo & Santa Fe) including 2 tribal governments; Navajo Nation and Pueblo of Laguna.

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico
350710	Albuquerque, NM
353520	Las Cruces, NM
353790	Santa Fe, NM
354250	Farmington, NM
354990	Los Lunas, NM

Congressional District Information

State	District	Representative
New Mexico	1	Michelle Lujan Grisham
New Mexico	2	Stevan Pearce
New Mexico	3	Ben R Lujan

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$9,285,909

Local			\$7,156,695
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$16,442,604

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2016-019-03-01	600-00 (600-A3) OTHER PROGRAM COSTS	\$7,709,422.00	\$6,044,438.00	\$13,753,860.00	0
NM-2016-019-03-01	11.79.0 PROJECT ADMINISTRATION	\$2,219,980.00	\$554,995.00	\$2,774,975.00	0
NM-2016-019-03-01	30.09.0 UP TO 50% FEDERAL SHARE	\$5,489,442.00	\$5,489,443.00	\$10,978,885.00	0
NM-2016-019-03-01	634-00 (634-A4) INTERCITY BUS TRANSPORTATION	\$1,576,487.00	\$1,112,257.00	\$2,688,744.00	0
NM-2016-019-03-01	11.71.1 CAPITAL COST OF 3RD PARTY CONTRACTING	\$300,000.00	\$75,000.00	\$375,000.00	0
NM-2016-019-03-01	11.79.0 PROJECT ADMINISTRATION	\$318,974.00	\$79,744.00	\$398,718.00	0
NM-2016-019-03-01	30.09.0 UP TO 50% FEDERAL SHARE	\$957,513.00	\$957,513.00	\$1,915,026.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Amendment 1: NMDOT is applying for the remaining balance in the amount of \$695,619 of our FY 2016 5311 apportionment (\$10,329,395) to the State of New Mexico posted in the Federal Register / Vol. 81, No. 30 / Tuesday, February 16, 2016. Los Alamos County will receive federal Operating funds in the amount of \$695,619.

20 subgrantees across the state of New Mexico will receive operating assistance for their transit agencies as follows:

City of Carlsbad: \$250,178
City of Clovis: \$76,505
The Community Pantry: \$122,132
Golden Spread Coalition: \$76,402
Grant County: \$339,775
City of Hobbs: \$344,293
Pueblo of Laguna: \$82,725
City of Las Vegas: \$83,556
Village of Milan: \$100,479
NCRTD: \$990,376
City of Portales: \$94,475
Town of Red River: \$50,373
RMRTD: \$549,125
City of Roswell: \$379,193
City of Ruidoso Downs: \$46,708
SCRTD: \$271,024
City of Socorro: \$80,152
Zia Therapy: \$417,168
Zuni Pueblo: \$105,250

20 subgrantees across the state of New Mexico will receive operating assistance for their transit agencies as follows:

City of Carlsbad: \$250,178
City of Clovis: \$103,477
The Community Pantry: \$122,132
Golden Spread Coalition: \$76,402
Grant County: \$339,775
City of Hobbs: \$344,293
Pueblo of Laguna: \$82,725
City of Las Vegas: \$83,556
Village of Milan: \$100,479
NCRTD: \$990,376
City of Portales: \$94,475
Town of Red River: \$50,373
RMRTD: \$549,125

City of Roswell: \$680,938
City of Ruidoso Downs: \$46,708
SCRTD: \$271,024
City of Socorro: \$80,152
Zia Therapy: \$417,168
Zuni Pueblo: \$105,250

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$5,489,442
Local			\$5,489,443
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$10,978,885

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2016	
End Date	9/30/2018	

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Sub-grantees providing Operating Assistance for Intercity Bus Service include:

Navajo Nation: \$328,738
RMRTD: \$170,875
NCRTD: \$457,900

Total: \$956,763

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$957,513
Local			\$957,513
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$1,915,026

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2016	
End Date	9/30/2018	

Budget Activity Line Item: 11.71.12 - CAPITAL COST OF 3RD PARTY CONTRACTING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.71.12	CAPITAL COST OF 3RD PARTY CONTRACTING	3RD PARTY CONTRACTS	0

Extended Budget Description

\$300,000 to New Mexico Park and Ride to provide intercity bus service to Sandoval/Santa Fe/Los Alamos/Rio Arriba/San Miguel counties across New Mexico. NMNDOT will use a third party contractor to provide turnkey operations for the program to serve the general public in 5 counties across the state of New Mexico. NMDOT Park and Ride has been serving New Mexico since 2003. The benefits of this service are impressive. Some of the benefits in fiscal year 2015 included the following:

- ? -Reduced traffic congestion by removing 9.2 million vehicle miles of travel from our busiest highways during the busiest commute hours
- ? -Reduced carbon dioxide emissions (a Greenhouse Gas) by 4,464 tons
- ? -Reduced gasoline consumption by 460,262 gallons (assumes 20 mpg average)
- ? -Served an Average Daily Ridership of 1,162.9 passengers
- ? -Provides a safe means of travel: In FY 2015, Park and Ride buses provided over 12 million passenger miles without a fatality or serious injury.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$300,000

Local			\$75,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$375,000

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2016	
End Date	12/31/2017	

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS TRANSPORTATION (634-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

Sub-grantees providing Project Administration for Intercity Bus Service include:

Navajo Nation: \$112,004

NCRTD: \$206,970-----

Total: \$318,974

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$318,974
Local			\$79,744
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$398,718

Milestone Name	Est. Completion Date	Description
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Start Date	10/1/2016
End Date	9/30/2018

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

Budget revision -

City of Carlsbad: \$150,975

City of Clovis: \$156,485

The Community Pantry: \$100,000

Golden Spread Coalition: \$67,443

Grant County: \$228,800

City of Hobbs: \$66,961

Pueblo of Laguna: \$24,789

City of Las Vegas: \$75,622

Los Alamos: \$2,999

Village of Milan: \$81,310

NCRTD: \$453,025

City of Portales: \$56,943

Town of Red River: \$47,337

City of Roswell: \$82,542

City of Ruidoso Downs: \$43,276

SCRTD: \$148,062

City of Socorro: \$38,209

Zia Therapy: \$199,452

Zuni Pueblo: \$75,750

Preferred Alliance Statewide Drug Testing - \$0

The sub-grantees providing Program Administration in rural areas include:

City of Carlsbad: \$150,175

City of Clovis: \$158,1602

The Community Pantry: \$99,600

Golden Spread Coalition: \$67,043

Grant County: \$228,200

City of Hobbs: \$66,561

Pueblo of Laguna: \$24,549

City of Las Vegas: \$74,822

Village of Milan: \$80,910

NCRTD: \$450,825

City of Portales: \$56,543

Town of Red River: \$46,937

City of Roswell: \$209,473

City of Ruidoso Downs: \$42,876

SCRTD: \$147,462

City of Socorro: \$37,809
 Zia Therapy: \$198,852
 Zuni Pueblo: \$75,150

Preferred Alliance Statewide Drug Testing - \$9,250

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$2,219,980
Local			\$554,995
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$2,774,975

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2016	
End Date	9/30/2018	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$5,489,442.00	\$10,978,885.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$957,513.00	\$1,915,026.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

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Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.71.12	CAPITAL COST OF 3RD PARTY CONTRACTING	0	\$300,000.00	\$375,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
INTERCITY BUS TRANSPORTATION (634-00)	11.79.00	PROJECT ADMINISTRATION	0	\$318,974.00	\$398,718.00

Finding: Class II(c) - Categorical Exclusions (C-List)**Class Level Description**

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	0	\$2,219,980.00	\$2,774,975.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

DOL Certification

Comment By Karen Torre

Comment Type DOL Review for Information

Date 6/29/2018

Comment

FTA Comments for DOL

Comment By Heriberto Chavarria

Comment Type DOL Review for Information

Date 6/29/2018

Comment The Federal Transit Administration's (FTA) projected timetable for acting upon the subject grant is 35 days from the date of this application. In order to meet that timetable, Department of Labor certification is requested no later than two weeks prior to that date. We request that DOL fax the 13(c) certifications when they have been issued, as the mail delay can be a week or more. Please establish a time schedule for negotiations pursuant to 29 DFR 215.3(d). Based on the time deadlines in the December 7, 1995, DOL guidelines, please advise us of the 49 USC sec. 5333(b) terms and conditions for inclusion in the grant contract.

Person to notify at FTA: Tony Ogboli, Community Planner
819 Taylor Street, Room 8A36
Fort Worth, Texas 76102
Phone: (817) 978-0566
Fax: (817) 978-0575

FTA Review Internal Comments

Comment By Heriberto Chavarria

Comment Type Ad Hoc Review

Date 6/15/2018

Comment Reservationist:
UZA 350000
P3 (600-00) 2016.18.81 FPC 09 \$695,619

Comment By Tony Ogboli

Comment Type Environmental Concurrence

Date 6/26/2018

Comment This grant amendment meets all planning and environmental requirements.
Environmental/Planning Concurrence provided

Comment By Christopher Macneith

Comment
Type Civil Rights Concurrence

Date 6/27/2018

Comment See application details for Civil Rights comments.

Comment By Luciana Nears

Comment
Type Ad Hoc Review

Date 6/28/2018

Comment Application review complete. Proceed with FAIN.

Application Review Comments

Comment By Christopher Macneith

Comment
Type Application Details

Date 6/27/2018

Requirements to Remember for ADA Compliance in Construction Projects
The ADA Standards issued by the Department of Transportation (DOT) apply to facilities used by state and local governments to provide designated public transportation services, including bus stops and stations, and rail stations. Other types of facilities covered by the ADA are subject to similar ADA Standards issued by the Department of Justice. Both the DOT and DOJ standards are based on the United States Access Board ADA Accessibility Guidelines (ADAAG).
DOT's ADA Standards (2006) are consistent with the Access Board's updated ADA (and ADAAG) guidelines but includes a few additional requirements concerning:

- Location of Accessible Routes (206.3)
- Detectable Warnings on Curb Ramps (406.8)
- Bus Boarding and Alighting Areas (810.2.2)
- Rail Station Platforms (810.5.3)

Comment The complete ADA Standards for Transportation Facilities can be found at the US Access Board Website: (ADA Standards for Transportation Facilities)

Requirements to Remember for: New Construction of Facilities
Sixty percent of all public entrances to the facility must be accessible. If there are only two entrances, both must be accessible. (DOT ADA Standard 206.4.1.)
For rail projects, no flange way gap can be greater than 2.5" where passenger circulation paths cross tracks at grade (i.e. a street-level pedestrian crossing over streetcar tracks). (DOT ADA Standard 810.10.)

Accessible routes that coincide with, or are located in the same area, as general circulation paths and elements such as ramps, elevators, and fare vending and collection must be placed so as to minimize the distance that wheelchair users and other persons

who cannot climb steps must travel in comparison to the general public. (DOT ADA Standard 206.3.)

Curb ramps must have detectable warnings. (DOT ADA Standard 406.8.)

Bus boarding and alighting areas must be in compliance with the ADA-ABA Guidelines (Section 810.2), which address surfaces (sturdy), dimensions (96" long x 60" wide); connection to sidewalks, streets and pedestrian paths; slope (not steeper than 1:48); signs; and public address systems. (DOT ADA Standard 810.2.)

Station platforms must be coordinated with the vehicle floor height. (DOT ADA Standard 810.5.3.)

?

Requirements to Remember for: Alterations or Additions to Facilities

Altered or added portions of the facility must be made accessible. (DOT ADA Standard 201 and DOT ADA Regulation 49 C.F.R. 37.43(a)(1).)

The path of travel to the altered or added portion of the facility must be made accessible, to the maximum extent feasible. (DOT ADA Regulation 49 C.F.R. 37.43(a)(2) and DOT ADA Standard 202.3.)

If the path of travel cannot be made accessible, the grantee must submit to FTA an analysis demonstrating that the cost of making the path of travel accessible is disproportionate to (i.e., > 20%) the cost of the alterations or additions to the primary function area. (DOT ADA Standard 202.4 and DOT ADA Regulation 49 C.F.R. 37.43(e)(1).)

If the path of travel cannot be made accessible, the grantee must submit to FTA an analysis demonstrating that site-specific conditions prevent you from making the path of travel accessible. Include relevant diagrams and maps. (DOT ADA Standard 202.4 and DOT ADA Regulation 49 C.F.R. 37.43(b).)

Requirements to Remember for: Commuter Rail Projects

Wheelchair users must have access to all accessible cars available to passengers without disabilities in each train using the station. (DOT ADA Regulation 49 C.F.R. 37.42.)

Requirements to Remember for: Bus Rapid Transit Systems

ADA standards apply to buses and bus boarding and alighting areas as well as BRT vehicles and stops/stations. (DOT ADA Regulation 49 C.F.R. Part 38, Subpart B and DOT ADA Standard 810.)

If not using a lift or ramp to provide level boarding, the grantee must submit to FTA a demonstration of equivalent facilitation. (DOT ADA Regulation 49 C.F.R. 37.7(b) and DOT ADA Regulation 49 C.F.R. 38.2.)

If roadways are being resurfaced or otherwise altered as part of a BRT project, curb ramps must be installed at crosswalks that have been affected. (DOT ADA Standard 106.5.)

Requirements to Remember for: Light Rail Projects

Complimentary paratransit service must be provided for fixed-route systems. (DOT ADA Regulation 49 C.F.R. Part 37, Subpart F.)

Requirements to Remember for: Remanufacture or Rebuilt Vehicles

Remanufactured or rebuilt vehicles must be accessible. (DOT ADA Regulation 49 C.F.R. 37.75; DOT ADA Regulation 49 C.F.R. 37.83; and DOT ADA Regulation 49 C.F.R. 37.89.)

If a remanufactured or rebuilt vehicle will not be accessible, the grantee must submit information to FTA demonstrating that the structural integrity of the vehicle would be significantly compromised if it is made accessible. Include an appropriate structural engineering analysis. (DOT ADA Regulation 49 C.F.R. 37.75 (c); DOT ADA Regulation 49 C.F.R. 37.83(c) and DOT ADA Regulation 49 C.F.R. 37.89(c).)

Title VI Requirements to Remember for: New Starts and other new fixed guideway systems.

FTA C 4702.1B Title VI, Chap. IV, 7. REQUIREMENT TO EVALUATE SERVICE AND FARE CHANGES.

c. Service and Fare Equity Analysis for New Starts and Other New Fixed Guideway Systems. Transit providers that have implemented or will implement a New Start, Small Start, or other new fixed guideway capital project shall conduct a service and fare equity analysis. The service and fare equity analysis will be conducted six months prior to the beginning of revenue operations, whether or not the proposed changes to existing service rise to the level of "major service change" as defined by the transit provider. All proposed changes to parallel or connecting service will be examined. If the entity that builds the project is different from the transit provider that will operate the project, the transit provider operating the project shall conduct the analysis. The service equity analysis shall include a comparative analysis of service levels pre-and post- the New Starts/Small Starts/new fixed guideway capital project. The analysis shall be depicted in tabular format and shall determine whether the service changes proposed (including both reductions and increases) due to the capital project will result in a disparate impact on minority populations. The transit provider shall also conduct a fare equity analysis for any and all fares that will change as a result of the capital project.

Requirements to Remember for: FTA-assisted vehicle purchases:

Only those transit vehicle manufacturers listed on FTA's certified list of Transit Vehicle Manufacturers, or that have submitted a goal methodology to FTA that have been approved or has not been disapproved, at the time of solicitation are eligible to bid per 49 CFR Part 26.49(a)(1).

As your agency undergoes the procurement process for vehicles, please ensure that you are in compliance with these requirements and verifying that any manufacturers bidding on the contract have so complied. Please find linked here a list of transit vehicle manufacturers (TVMs) that have DBE programs and goals which are FTA-approved. Therefore, these TVMs are approved to bid on and be awarded FTA-assisted vehicle contracts. This list is regularly updated by FTA and should be used as reference for your upcoming vehicle purchases, but if you do not see a TVM on the list and are under the impression that it had an FTA-approved program, please contact me to confirm.

As a side note, there are a number of TVMs that have submitted DBE programs to FTA, but they are currently under review. The list of TVMs that fall into this category is not published by FTA, but if you receive a bid from a TVM that is not on the list linked above and would like to verify whether it has submitted a program under review, please feel free to contact me for that information.

Effective November 2014, FTA recipients are required to submit, within 30 days of making an award, the name of the successful bidder and the total dollar value of the contract. Remember, only eligible TVMs may bid on FTA-assisted transit vehicle procurements.

Recipients must use the online Transit Vehicle Award Reporting Form [\[external link\]](#) to

report the required information on transit vehicle procurement awards. For more information on the TVM program requirements, please refer to the TVM webpage. The link to the electronic reporting form may also be found on the list of eligible TVMs. The information provided above is intended as technical assistance and applies only to the project as described. Should the project scope change or new information on the project be provided, FTA may require a re-evaluation of the project information as it relates to ADA and require additional information. This confirmation is not an express or implied promise of project compliance with the ADA.

Application Review Comments

Comment By Heriberto Chavarria

Comment Type	FTA Post Review Comments for Grantee
Date	6/15/2018
	Upload the 2016 STIP and upload the POP reflecting this amendment? Lastly, add the following into the Executive Summary, as no comments reflecting the amendment is stated.
Comment	<p>Amendment 1: NMDOT is applying for the remaining balance in the amount of \$695,619 of our FY 2016 5311 apportionment (\$10,329,395) to the State of New Mexico posted in the Federal Register / Vol. 81, No. 30 / Tuesday, February 16, 2016. Los Alamos County awarded \$695,619 in Operating funds. Total Operating Assistance Rural: \$5,489,442 (Scope 600)</p> <p>UZA -350000 (Los Alamos) P3 2016.25.18.81 FPC 09 Scope 600 - \$695,619 Total Operating Assistance Rural: \$5,489,442 (Scope 600) FY17 FTA available balance is \$695,619.00 State Administrative awarded: \$10,434,859.00</p>

Part 6: Agreement

UNITED STATES OF AMERICA DEPARTMENT OF TRANSPORTATION FEDERAL TRANSIT ADMINISTRATION

GRANT AGREEMENT (FTA G-24, October 1, 2017)

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:
(1) "Federal Transit Administration Master Agreement," FTA MA(24), October 1, 2017,
<http://www.transit.dot.gov>,

- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
(3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation, New Mexico Department Of

Recipient ID: 1564

DUNS No: 117883178

Application Information

Federal Award Identification Number: NM-2016-019-01

Application Name: NMDOT FY16 5311 AWARD

Application Start Date: 9/19/2016

Application End Date: 9/29/2021

Application Executive Summary: AMENDMENT 1: NMDOT is applying for the remaining balance in the amount of \$695,619 of our FY 2016 5311 apportionment (\$10,329,395) to the State of New Mexico posted in the Federal Register / Vol. 81, No. 30 / Tuesday, February 16, 2016. The remaining balance will be added to Operating Assistance Rural for a new total as follows: \$5,489,442 (Scope 600)

UZA -350000 (Los Alamos)
P3 2016.25.18.81 FPC 09 Scope 600 - \$695,619
Total Operating Assistance Rural: \$5,489,442 (Scope 600)

6/7/18 - Budget revision: to reconcile cost savings from POP FFY 17.

8/12/2016 NMDOT is applying for 9,663,775 of our FY 2016 5311 apportionment (\$10,329,395) to the State of New Mexico posted in the Federal Register / Vol. 81, No. 30 / Tuesday, February 16, 2016 for our 5311 Public Rural Transportation program for FY17 Operating, Program Administration, Capital Cost

of Contract, State Administration, and RTAP (\$141,795 full allocation). The total application request is for \$9,775,570.

There are 20 projects are in Category A, for 20 sub-grantees in 22 counties (Chaves, Colfax, Valencia, Eddy, Curry, Rio Arriba, Union, Hidalgo, Grant, Lea, Luna, San Miguel, Los Alamos, Roosevelt, Taos, Socorro, Sandoval, Cibola, McKinley, Otero, Bernalillo & Santa Fe) including 2 tribal governments; Navajo Nation and Pueblo of Laguna.

Rural Category A: \$8,590,289 - NMDOT has awarded \$8,590,289 of FY 2016 5311 apportionment to 20 sub-grantees for Rural projects in the upcoming FY 2017 program year for Operating, Program Administration, Capital Cost of Contract, and including Intercity Bus service 5311(f). 4 intercity bus projects are all programmed in Category A with the required 15 percent. Included in the intercity, we have programed Capital Cost of Contracting for our Park & Ride Program.

State Administration: \$1,043,486 - provide project administration, oversight and technical assistance to sub-grantees included in Program of Projects. State Administration is programmed within the 10% allowed.

Rural Transit Assistance Program (RTAP): \$141,795 - NMDOT has awarded RTAP \$141,795 for FY17. RTAP will promote the safe and effective delivery of public transportation services in rural areas and facilitate more efficient use of public transportation resources by providing training and technical assistance to rural transit agencies.

The funding request for the grant projects are as follows:

P1 RTAP Section 5311(b): (435-00) \$141,795 - UZA35000
P2 State Administration: (610-00) \$1,043,486 - UZA 35000
P3 Sub-grantees: (600-00) \$7,013,803 - UZA 35000
P3 Sub-grantees: (634-00) \$1,576,486 - UZA 35000

See attached Program of Projects and Budget workbook.

The 5311 sub-grantees use the following allowed local match for their 20% match: Federal & State funds, Tribal Supplemental-funds, State Budget-Aging & long term Services. Medicaid waiver, program service fees, enterprise sales revenue, United Way, leases, Rent/Fee's, Private pay, Grants and contributions, interest income and State General Funds.

NMDOT does follow the SMP requirements for 5310/5311 outlined in Circular 9070.1G page VII-1-4 dated 7/7/14.

As the designated recipient of the Section 5311 funds, the New Mexico Department of Transportation (NMDOT) Transit and Rail Division certifies that the selected projects in our POP and Grant Budget are derived from a locally-developed, Coordinated Public Transit - Human Services Transportation Plans by region. The allocation of these funds to sub recipients were awarded on a fair and equitable basis; the projects have been derived from a regionally coordinated public transit- human services transportation plan; to the extent feasible services are coordinated with transportation services provided by other federal agencies; and the planning process included representatives of public, private and non-profit transportation and human service providers, participation by the public and representatives addressing the needs of seniors and individuals with disabilities. Additionally, during the annual application process, which includes public meetings, potential sub grantees provide information as to how their project is derived from the Plans. This is confirmed by Transit and Rail Division Staff.

The Plans can be found on our web-site at http://dot.state.nm.us/content/nmdot/en/Transit_Rail.html

Period of Performance: Award Start Date: 10-01-16 Award End Date: 09-30-2019

Research and Development: This Application does NOT include funds for Research and/or Development

Activities.

Indirect Cost Rate: Indirect Costs Will NOT be applied to this application and its scope of work.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$17,627,885.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$695,619.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$695,620.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$10,471,190.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$7,156,695.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
49 USC 5311 - (MAP 21) Formula Grants for Rural Areas (FY2013 and forward)	5311-1A	20509	\$10,471,190
Local			\$7,156,695
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Total Eligible Cost			\$17,627,885

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Review Decision: DOL Concurs - Certified

Original Certification Date: 9/16/2016

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation, New Mexico Department Of